

66/11/99 PTO

Please type a plus sign (+) inside this box → PTO/SB/05 (12/97)  
Approved for use through 09/30/00. OMB 0651-0032  
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UTILITY  
PATENT APPLICATION  
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 99-041 Total Pages 39

First Named Inventor or Application Identifier

KEITH E. LEJEUNE ET AL.

Express Mail Label No. EL317713525US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1.  Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)

2.  Specification [Total Pages 24]  
(preferred arrangement set forth below)

- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

3.  Drawing(s) (35 USC 113) [Total Sheets 5]

4. Oath or Declaration [Total Pages 6]

- Newly executed (original or copy)
- Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)  
[Note Box 5 below]

- DELETION OF INVENTOR(S)  
Signed statement attached deleting  
inventor(s) named in the prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b).

5.  Incorporation By Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from which a  
copy of the oath or declaration is supplied under Box 4b,  
is considered as being part of the disclosure of the  
accompanying application and is hereby incorporated by  
reference therein.

6.  Microfiche Computer Program (Appendix)

7. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)

- Computer Readable Copy
- Paper Copy (identical to computer copy)
- Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

- \* Assignment Papers (cover sheet & document(s))
- 37 CFR 3.73(b) Statement  Power of Attorney  
(when there is an assignee)
- English Translation Document (if applicable)
- Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
- Preliminary Amendment
- Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
- Small Entity  Statement filed in prior application,  
Statement(s)  Status still proper and desired
- Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
- Other: \* Assignment to  
Agentase, LLC will  
follow

## 17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

 Continuation  Divisional  Continuation-in-part (CIP) of prior application No: /

## 18. CORRESPONDENCE ADDRESS

 Customer Number or Bar Code Labelor  Correspondence address below

(Insert Customer No. or Attach bar code label here)

NAME	Henry E. Bartony, Jr.				
	Date: November 17, 1999				
ADDRESS	Bartony & Hare, Suite 1801				
	429 Fourth Avenue				
CITY	Pittsburgh		STATE	Pennsylvania	ZIP CODE
COUNTRY	USA	TELEPHONE	(412) 338-8632		FAX (412) 338-6611

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JC541 U.S. PTO  
09/17/99

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**STATEMENT CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) & 1.27(c))--SMALL BUSINESS CONCERN**
Docket Number (Optional)  
99-041Applicant, Patentee, or Identifier: KEITH E. LEJEUNE AND ALAN J. RUSSELLApplication or Patent No.: TO BE ASSIGNEDFiled or Issued: NOVEMBER 17, 1999Title: ENZYME-CONTAINING POLYURETHANES

I hereby state that I am

the owner of the small business concern identified below:  
 an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN AGENTASE, LLCADDRESS OF SMALL BUSINESS CONCERN 300 TECHNOLOGY DRIVE, SUITE 313  
PITTSBURGH, PENNSYLVANIA 15219, USA

I hereby state that the above identified small business concern qualifies as a small business concern as defined in 13 CFR Part 121 for purposes of paying reduced fees to the United States Patent and Trademark Office. Questions related to size standards for a small business concern may be directed to: Small Business Administration, Size Standards Staff, 409 Third Street, SW, Washington, DC 20416.

I hereby state that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

the specification filed herewith with title as listed above.  
 the application identified above.  
 the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern, or organization having rights in the invention must file separate statements as to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern, or organization having any rights in the invention is listed below:  
 no such person, concern, or organization exists.  
 each such person, concern, or organization is listed below.

Separate statements are required from each named person, concern or organization having rights to the invention stating their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

NAME OF PERSON SIGNING KEITH E. LEJEUNE

PRESIDENT

TITLE OF PERSON IF OTHER THAN OWNER

300 TECHNOLOGY DRIVE, PITTSBURGH, PENNSYLVANIA USA

ADDRESS OF PERSON SIGNING

SIGNATURE \_\_\_\_\_ DATE \_\_\_\_\_

JC542 U.S. PTO  
09/441592  
11/17/99

## CERTIFICATION OF EXPRESS MAILING

I hereby certify that the attached Utility Patent Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service on this date November 17, 1999, in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EL317713525US, addressed to the: Assistant Commissioner for Patents, Box PATENT APPLICATION, Washington, D.C. 20231.

Henry E. Bartony, Jr.

TYPE OR PRINT NAME OF PERSON MAILING PAPER



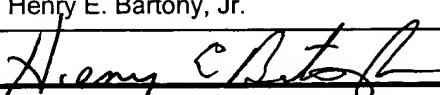
SIGNATURE OF PERSON MAILING PAPER

U.S. Patent Application Serial No.: T0 BE ASSIGNED  
Entitled: ENZYME-CONTAINING POLYURETHANES  
Attorney Docket No.: 99-041

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<b>FEE TRANSMITTAL</b>		<b>Complete if Known</b>															
		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Application Number</td> <td style="width: 50%;">TO BE ASSIGNED</td> </tr> <tr> <td>Filing Date</td> <td>NOVEMBER 17, 1999</td> </tr> <tr> <td>First Named Inventor</td> <td>KEITH E. LEJEUNE</td> </tr> <tr> <td>Group Art Unit</td> <td>TO BE ASSIGNED</td> </tr> <tr> <td>Examiner Name</td> <td>TO BE ASSIGNED</td> </tr> <tr> <td colspan="2">Attorney Docket Number</td> </tr> </table>				Application Number	TO BE ASSIGNED	Filing Date	NOVEMBER 17, 1999	First Named Inventor	KEITH E. LEJEUNE	Group Art Unit	TO BE ASSIGNED	Examiner Name	TO BE ASSIGNED	Attorney Docket Number	
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TOTAL AMOUNT OF PAYMENT		(\$)															

<b>METHOD OF PAYMENT</b> (check one) <p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:</p> <p>Deposit Account Number <input type="text" value="02-1065"/></p> <p>Deposit Account Name <input type="text" value="BARTONY &amp; HARE"/></p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance</p> <p>2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p>		<b>FEE CALCULATION</b> (continued) <p>3. <b>ADDITIONAL FEES</b></p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 10%;">Large Entity Fee Code (\$)</th> <th style="width: 10%;">Small Entity Fee Code (\$)</th> <th style="width: 10%;">Fee</th> <th style="width: 10%;">Fee</th> <th style="width: 10%;">Fee</th> <th style="width: 10%;">Fee</th> <th style="width: 10%;">Fee Description</th> <th style="width: 10%;">Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td></td><td></td><td>Surcharge - 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<b>SUBMITTED BY</b>			Complete (if applicable)	
Typed or Printed Name <input type="text" value="Henry E. Bartony, Jr."/>			Reg. Number	34,772
Signature 			Date	Nov. 17, 1999
			Deposit Account User ID	

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